

## CHAPTER XI

## PROGRAM MANAGEMENT

11-100 General

To ensure uniform implementation of the DoD personnel security program throughout the Department, program responsibility shall be centralized at DoD Component level.

11-101 Responsibilities

a. The Deputy Under Secretary of Defense for Policy shall have primary responsibility for providing guidance, oversight, development and approval for policy and procedures governing personnel security program matters within the Department:

(1) Provide program management through issuance of policy and operating guidance.

(2) Provide staff assistance to the DoD Components and defense agencies in resolving day-to-day security policy and operating problems.

(3) Conduct inspections of the DoD Components for implementation and compliance with DoD security policy and operating procedures.

(4) Provide policy, oversight, and guidance to the component adjudication functions.

(5) Approve, coordinate and oversee all DoD personnel security research initiatives and activities.

b. The General Counsel shall ensure that the program is administered in a manner consistent with the laws; all proceedings are promptly initiated and expeditiously completed; and that the rights of individuals involved are protected, consistent with the interests of national security. The General Counsel shall also ensure that all relevant decisions of the courts and legislative initiatives of the Congress are obtained on a continuing basis and that analysis of the foregoing is accomplished and disseminated to DoD personnel security program management authorities.

c. The Heads of the Components shall ensure that:

(1) The DoD personnel security program is administered within their area of responsibility in a manner consistent with this Regulation.

(2) A single authority within the office of the head of the DoD Component is assigned responsibility for administering the program within the Component.

(3) Information and recommendations are provided the Deputy Under Secretary of Defense for Policy and the General Counsel at their request concerning any aspect of the program.

11-102 REPORTING REQUIREMENTS

Personnel security program management data will be developed and submitted by 1 December each year for the preceding fiscal year in a report to the Deputy Under Secretary of Defense for Policy. The information required below is essential for basic personnel security program management and in responding to requests from the Secretary of Defense and Congress. The report will cover the preceding fiscal year, broken out by clearance category, according to officer, enlisted, civilian or contractor status:

- (a) Number of Top Secret, Secret and Confidential clearances issued;
- (b) Number of Top Secret, Secret and Confidential clearances denied;
- (c) Number of Top Secret, Secret and Confidential clearances revoked;
- (d) Number of SCI access determinations issued;
- (e) Number of SCI access determinations denied;
- (f) Number of SCI access determinations revoked;
- (g) Number of actions which resulted in nonappointment or non-selection to a sensitive position;
- (h) Total number of personnel holding a clearance for Top Secret, Secret, Confidential and Sensitive Compartmented Information as of the end of the fiscal year.
- (i) Number of Top Secret billets established (Para 3-104);
- (j) Number of personnel adjudicating personnel security cases on a full or part time basis;
- (k) Number of man years expended in adjudicating personnel security cases;
- (l) Number of civilian positions designated sensitive, by designation criteria;
- (m) The number of Limited Access Authorizations in effect (in accordance with paragraph 3-403.)

This reporting requirement has been assigned Report Control Symbol DD-POL (A)1749.

11-103 Inspections

The heads of DoD Components shall assure that personnel security program matters are included in their administrative inspection programs.